

Notice of meeting of

Audit & Governance Committee

To:	Councillors B Watson (Chair), Brooks (Vice-Chair), Bowgett, Jamieson-Ball, Scott, Kirk and R Watson
Date:	Monday, 15 February 2010
Time:	5.30 pm
Venue:	The Guildhall, York

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required.

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Minutes (Pages 3 - 16)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 21 December 2009.

3. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Friday, 12 February 2010.**

4. Audit & Governance Committee Forward Plan (Pages 17 - 22)

This report details the items expected to be presented to the Committee up to December 2010, including training/briefing events to support Members in their role on the Committee.

5. Audit Commission Opinion Audit Plan 2009/10 (Pages 23 - 46)

This report presents the Audit Commission's Opinion Audit Plan for 2009/10.

6. Grant Claims Certification Report 2008/09 (Audit Commission) (Pages 47 - 62)

The purpose of this report is to allow consideration of the Certificate of Claims and Returns Report 2008/09 produced by the Audit Commission (see Annex). The report reviews the council's arrangements for the preparation and administration of grant claims within the council.

7. Key Risk Update (Pages 63 - 70)

The purpose of this report is to present to the Audit and Governance Committee the detailed risk paper in relation to the University Swimming Pool as requested by the Committee in December 2009 and also to update in relation to Member risk training.

8. Petitions Report (Pages 71 - 74)

This report gives details of current definitions contained within the petitions guidance and updates Members on the latest legislative position, with regard to the introduction of e-petitions and corporate practices surrounding the handling of petitions with local authorities.

9. Draft Information Governance Policy and Strategy (Pages 75 - 104)

The purpose of this report is to consult with the Audit and Governance Committee on the proposed framework for improving Information Governance arrangements in the council as laid down in the draft policy and strategy documents attached at Annex A and Annex B to this report.

10. Update on Counter Fraud Policies (Pages 105 - 126)

The purpose of this report is to seek Members views on the content of the counter fraud and corruption policy and the counter fraud and prosecution policy.

11. Internal Audit Plan Consultation (Pages 127 - 142)

The purpose of this report is to seek Member's views on the priority of internal audit work for 2010/11 so as to inform the preparation of the annual audit plan. In addition, approval is sought for the adoption of a new internal audit strategy. The finalised audit plan will be presented to the next meeting of this Committee for approval.

12. Summary of Audit Commission National Reports (Pages 143 - 162)

This paper gives a brief overview of national reports produced by the Audit Commission between 17 June 2009 and 31 January 2010.

13. Audit & Governance Committee's relationships with other Committees (Pages 163 - 166)

Following the last meeting, his report summarises the key committee with which Audit and Governance Committee has a relationship and how key internal control issues could be communicated to Audit and Governance members.

14. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.